

# FINANCIAL WHISTLEBLOWERS: KNOW YOUR RIGHTS

**Financial whistleblowers are on the front lines in protecting Americans against rampant corporate greed and the next financial downturn. Insiders are often the only individuals who have the knowledge to prove corrupt acts are occurring – acts that threaten the public welfare.**



Several federal whistleblower protection laws apply to financial industry whistleblowers. One of the most prominent is the **Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010**. Just a few of the protections that this groundbreaking law offers whistleblowers include:

- Allowing whistleblowers to report wrongdoing directly to the SEC – not requiring employees to approach their own corrupt companies
- Providing protections for employees who disclose wrongdoing at subsidiaries, not just publically-traded parent companies
- Making illegal any action taken by employers against employees in retaliation for approaching the SEC or in working with investigators.
- Implementing a reward program for individuals whose original evidence leads to successful enforcement action by the SEC

## TRUTH-TELLERS HAVE MADE A DIFFERENCE



Bowen talking to  
60 Minutes

### Richard Bowen

Richard Bowen worked for a major New York bank. The Financial Crisis Inquiry Commission (FCIC) – charged with investigating the causes of the recession – requested his testimony because of his expertise and experiences. Apparently, however, Bowen told the Commission more than it wanted to hear. His interview with FCIC attorneys was placed under seal and sent to the National Archives for five years. Bowen's story has since aired on *60 Minutes*.

### Linda Almonte

Linda Almonte was an executive at a major financial institution. Since 2008, she has worked tirelessly with numerous state and federal agencies and the national media to expose bank fraud, questionable consumer practices, and educate bank employees about their rights to speak out about corruption. Her subsequent whistleblowing about problems in the banking industry has brought national attention to the failure of federal regulations to curtail many of the abuses that led to the financial meltdown in 2007 and 2008.



***“I only wish that Dodd-Frank whistleblower protections had been in place when I exposed wrongdoing.”***

*– Anonymous banking whistleblower*



**Don't Hesitate – Know Your Rights!**

**FOR MORE INFORMATION, VISIT:  
[WWW.BANKWHISTLEBLOWER.ORG](http://WWW.BANKWHISTLEBLOWER.ORG)**

**To Send a Message About Your Rights:**

**1-855-BANK-KYR**

**[INFO@WHISTLEBLOWER.ORG](mailto:INFO@WHISTLEBLOWER.ORG)**

*The Government Accountability Project is the nation's leading whistleblower protection and advocacy organization. But we're also a legal organization – representing clients with a long and storied record of effecting positive change. To find out more about your protections and to **Know Your Rights**, contact us!*